

Business Titles and Financial Designations

Your advisor is an employee of Edward Jones. Edward Jones advisors hold the business title of Financial Advisor (Investment Advisor in Québec). No other business titles are permitted. Financial Advisors are registered with the Canadian Investment Regulatory Organization (CIRO) to be able to provide investment advice.

Advisors are approved by CIRO to offer investment services at CIRO regulated firms and they must complete the Canadian Securities Course and the Conduct and Practices Handbook Course prior to joining a CIRO member firm. Once hired by Edward Jones, advisors must complete a 90-day training program and undergo rigorous background checks before they can be approved to work. They must also complete the Wealth Management Essentials course within 30 months of being hired and registered. They must complete ongoing education programs after they are registered to maintain proficiency standards. Every two years, advisors must complete a continuing education program comprising of 10 hours of compliance and 20 hours of professional development courses.

Edward Jones advisors are permitted the use of approved financial designations. The review of financial designations is subject to a defined list of criteria. Individuals who have passed the relevant qualifying exams and maintained their status through continuing education and meeting minimum ethical standards may use firm approved financial designations on business cards, advertising and stationery.